



百惠金控
PATRONS

Patrons Securities Limited 百惠證券有限公司

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US Stock Investment Service Fee Schedule 美國股票投資服務收費表

US Securities Market -Trading Fees 美股交易費				
Items 項目	The charging party 收費方	Commission & Service Charges 佣金及收費		Trading time 交易時間 (HK time 香港時間)
Commission 佣金	Patrons Securities Limited 百惠證券有限公司	USD 0.01/shares 股	Min.最低：USD 2	Daylight saving time 夏令時間: 21:30 - 04:00
SEC Fees 證監會費 (for sell transactions only 僅沽出時收取)	United States Securities and Exchange Commission (SEC) 美國證券交易委員會	0.00000% (trade amount 交易額)	Min.最低：USD 0.00	
		(Rate subject to change irregularly per calendar year based on US SEC policy.收費率將按美國證監會每年不定時公佈)		
Trading Activity Fee (TAF) 交易活動費（TAF） (for sell transactions only 僅沽出時收取)	The Financial Industry Regulatory Authority (FINRA) 美國金融業監管局	USD 0.000166 /Share 股	Min.最低: USD 0.01 Max 最高:USD 8.30	Winter time 冬令時間: 22:30 - 05:00
Clearing Fees 結算費	NSCC / DTC 美國證券託管結算公司	USD 0.003 /Share 股	Min.最低：USD 0.01	
Consolidated Audit Trading Fees (CAT) 綜合審計追蹤監 管費	The Financial Industry Regulatory Authority (FINRA) 美國金融業監管局	USD 0.000022 /Share 股	Min.最低: USD 0.01	
US Securities Market- Other Service Fees 美股其他費用				
Items 項目	Service Charges 收費			
Securities Deposit / Withdrawal 股票提存				
Physical Scrip Deposit/Withdrawal 實貨存入 /提取		No This Services 不提供實物股票提存服務		
Scripless Securities Deposit 無紙化股票轉入		Collection and Payment, 代收代支		
Scripless Securities Withdrawal 無紙化股票轉出		Collection and Payment, plus handling fee USD 50.00 代收代支，另加手續費 USD 50.00		
Nominee Service 代理人服務				
Dividend tax 股息稅		30% (IRS has been withheld when paying dividends 美國稅局 IRS 派息時已預扣)		
Custody Fee 託管費 American Depository Receipt (ADR) Custody Fee 美國預託證券收費 Collect by (DTC) 信託公司收取		USD 0.01- 0.05/Share 股 (每年截止過戶日收取，視乎 DTC 信託公司決定，每隻股票不一樣) (book close collected by the ADR issuers. Fee amount of charges differs for each ADR.		
Dividend Handling Fee 股息費		Collection and Payment, plus handling fee USD 10.00 代收代支，另加手續費 USD 10.00		
Other Corporate Action Fee 其他企業行動費		Collection and Payment, plus handling fee USD 10.00 代收代支，另加手續費 USD 10.00		
Interest Deficits & Other Charges 利息結欠及其他收費				
Custody Fee 託管費		Free 免費		
Cash / Margin Account Deficit Interest 現金 / 保證金戶口結欠利息		Prime Rate + 6% 最優惠利率 + 6%		

Remarks 備註:

1. The above charges are subject to revision from time to time without prior notice.

以上收費僅供參考，本公司可隨時修訂，恕不另行通知。

2. Our company reserves the right to collect handling fees for account operation

本公司保留權利徵收閣下賬戶在本公司運作之費用。

3. Out-of Packet Expenses will be paid by client.

若有其他費用發生，均需由客戶付款。